

For official use only: Customer Name	Customer No.
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PD F 1938 E
Department of the Treasury
Bureau of the Public Debt
(Revised August 2004)

REQUEST FOR REISSUE OF UNITED STATES SAVINGS BONDS TO REMOVE NAME OF ONE OR MORE LIVING REGISTRANTS

OMB No. 1535-0008

IMPORTANT: Follow instructions in filling out this form. You should be aware that the making of any false, fictitious, or fraudulent claim or statement to the United States is a crime that is punishable by fine and/or imprisonment.

PRINT IN INK OR TYPE ALL INFORMATION

1. DESCRIPTION OF BONDS

I/We request reissue of the following-described United States Savings Bonds in the amount of \$ _____ (total face amount).

ISSUE DATE	FACE AMOUNT	BOND NUMBER	REGISTRATION (Social security number and names, including middle names or initials, on the bonds)

(If more space is needed, use a continuation sheet and attach it to this form.)

TAX LIABILITY: If the name of a living owner or principal coowner of the bonds is eliminated from the registration, the owner or principal coowner must include the interest earned and previously unreported on the bonds to the date of the transaction on his or her Federal income tax return for the year of the reissue. (Both registrants are considered to be coowners when bonds are registered in the form: "A" or "B.") The principal coowner is the coowner who (1) purchased the bonds with his or her own funds, or (2) received them as a gift, inheritance, or legacy, or as a result of judicial proceedings, and had them reissued in coownership form, provided he or she has received no contribution in money or money's worth for designating the other coowner on the bonds. If the reissue is a reportable event, the interest earned on the bonds to the date of the reissue will be reported to the IRS by a Federal Reserve Bank or Branch or the Bureau of the Public Debt under the Tax Equity and Fiscal Responsibility Act of 1982. THE OBLIGATION TO REPORT THE INTEREST CANNOT BE TRANSFERRED TO SOMEONE ELSE THROUGH A REISSUE TRANSACTION. If you have any questions concerning the tax consequences, consult the Internal Revenue Service, or write to the Commissioner of Internal Revenue, Washington, DC 20224. **Unless we are otherwise informed, the first-named coowner will be considered the principal coowner for the purposes of this transaction.**

2. NEW BOND INSCRIPTION

OWNER OR FIRST-NAMED COOWNER

(Social Security Number)

(Name)

(Number and Street, Rural Route, or PO Box)

(City)

(State)

(ZIP Code)

COOWNER OR BENEFICIARY *(If a name is shown and neither block is checked, we will assume coowner.)*

Name the following person as ☐ coowner ☐ beneficiary

(Name)

Delivery Instructions *(if different from that shown above for the owner/first-named coowner)*

(Name of Individual or Institution)

(Number and Street, Rural Route, or PO Box)

(City)

(State)

(ZIP Code)

I/We certify under penalty of perjury that the information provided herein is true and correct to the best of my/our knowledge and belief. I/We agree to reissue of the bonds as indicated in Item 2 and certify that the reissue is authorized for one of the reasons described in "Authorized Reissue Transactions" in the instructions. I/We hereby bind ourselves, our heirs, legatees, successors and assigns, jointly and severally, to hold the United States harmless on account of the reissue requested herein, to indemnify unconditionally and promptly repay the United States in the event of any loss which results from this request, including interest, administrative costs, and penalties. I/We consent to the release of any information regarding this transaction, including information contained in this application, to any party having an ownership or entitlement interest in the bonds.

Sign Here: ⇒	_____	_____
	(Signature)	(Social Security Number)
Address:	_____	_____
	(Number and Street, Rural Route, or PO Box)	(Daytime Telephone Number)
	_____	_____
	(City) (State) (ZIP Code)	(E-mail address)

Sign Here: ➞	_____	_____
	(Signature)	(Social Security Number)
Address:	_____	_____
	(Number and Street, Rural Route, or PO Box)	(Daytime Telephone Number)
	_____	_____
	(City) (State) (ZIP Code)	(E-mail address)

I CERTIFY that _____, whose identity is known or was
proven to me, personally appeared before me this _____ day of _____, _____, _____,
(Month) (Year)
at _____, and signed this form.
(City) (State)

My commission expires _____
(For notaries only) (City) (State) (ZIP Code)

I CERTIFY that _____, whose identity is known or was
proven to me, personally appeared before me this _____ day of _____, _____,
(Month) (Year)
at _____, and signed this form.
(City) (State)

My commission expires _____
(For notaries only) (City) (State) (ZIP Code)

☐ Customer Account Number and Date Established: _____ ☐ Document(s) - Description: _____

☐ Identified by (Signature and Address): _____

Each person appearing before you must establish identification by positive and reliable evidence before this form is signed, unless he or she is personally known to you. Place an adequate notation above or on a separate record, showing exactly how identification was established. A notation is adequate if it is sufficiently detailed to permit, at a later date, a determination of the exact identification actually used. You and, if you are an officer or employee of an organization, the organization will be held fully responsible for the adequacy of the identification.

If you are an employee (rather than an officer) authorized to certify signatures, insert the words "Authorized Signature" in the space provided for the title. Insert the place and date, as required on the form, and impress the seal of your organization.

INSTRUCTIONS

USE OF FORM – The owner or coowners of United States Savings Bonds (other than Series I bonds) may use this form to request reissue of the bonds, but **ONLY** under the following circumstances:

Current Registration	Authorized Reissue Transactions
Sole Owner	The owner may request reissue to remove his/her name and name a person related to him/her by blood, legal adoption, or marriage as the new owner, or he/she may request reissue in his/her name with such other person as second-named coowner. Another person may be added as coowner or beneficiary by the owner whose name is being removed. If the original owner is remaining as a coowner on the new bonds, he/she must be the first-named coowner.
Owner with a Beneficiary (Two names with the connector "POD")	<ol style="list-style-type: none"> The owner may request reissue to remove his/her name and name the present beneficiary as owner, provided the present owner and beneficiary are related by blood, legal adoption, or marriage. Another person may be added as coowner or beneficiary by the owner whose name is being removed. The owner may request reissue to remove his/her name and name a different person as owner, provided the present owner and the new owner are related by blood, legal adoption, or marriage. Another person may be added as coowner or beneficiary by the owner whose name is being removed. For Series E or H bonds, the current beneficiary must consent to the reissue if his/her name is also being removed. The owner may request reissue to remove his/her name and name as owner a custodian for the present beneficiary who is a minor, under a statute authorizing gifts to minors. A coowner or a beneficiary may not be named on bonds to be reissued in the name of a custodian for a minor under a gifts to minors statute.
Owner with or without a Beneficiary	<ol style="list-style-type: none"> The owner may request reissue to remove his/her name and name as owner: <ol style="list-style-type: none"> a guardian or similar representative for the estate of a minor or an incompetent, or a custodian for a minor, pursuant to a statute authorizing gifts to minors, provided the minor or incompetent is related to the present owner by blood, legal adoption, or marriage. For Series E or H bonds, the current beneficiary must consent to the reissue if his/her name is also being removed. The owner may request reissue to remove his/her name and name as owner the other party to a divorce, legal separation, or annulment of marriage, which occurred after the bonds were issued. For Series E or H bonds, the current beneficiary must consent to the reissue if his/her name is also being removed.
Coowners (Two names with the connector "OR")	<ol style="list-style-type: none"> If the present coowners are related to each other by blood, legal adoption, or marriage, they may request reissue to remove one of their names and reissue the bonds in the name of the other. The coowner whose name is remaining on the bonds must be shown as owner or first-named coowner on the new bonds. The name of another person may be added as a coowner or beneficiary. The present coowners, regardless of relationship, may request reissue to remove either or both of their names and reissue the bonds <i>in the name of another person related to either of them by blood, legal adoption, or marriage</i>. The bonds may be reissued with the new person as: <ul style="list-style-type: none"> sole owner, owner with one of the original coowners as beneficiary, or a new coowner with one of the original coowners. (If one of the original coowners is remaining on the bonds as a coowner, he/she must be shown as the first-named coowner.) The present coowners, regardless of relationship, may request reissue to remove either or both of their names and reissue the bonds: <ul style="list-style-type: none"> in the name of a custodian for a minor pursuant to a statute authorizing gifts to minors, provided the minor is related to one of the coowners by blood, legal adoption, or marriage, or in the name of a minor or an incompetent related to one of the coowners by blood, legal adoption, or marriage if a legal guardian or similar representative has been appointed for the estate of the minor or incompetent. The present coowners, regardless of relationship, may request reissue to remove either or both of their names and reissue the bonds to: <ul style="list-style-type: none"> promote the minor coowner to sole owner, or name a custodian for the minor owner under a statute authorizing gifts to minors. The present coowners, regardless of relationship, may request reissue to remove either of their names if one of them marries. The name of another person may be added as coowner or beneficiary. If the present coowners are divorced or legally separated from each other, or their marriage has been annulled, they may request reissue to remove either of their names. The name of another person may be added as coowner or beneficiary.

NEW INSCRIPTION – Follow these rules when entering the new bond inscription:

- If one bond is to be divided among two or more persons as owners, coowners, or owners and beneficiaries, among two or more minors pursuant to a statute authorizing gifts of securities to minors, or among two or more minors or incompetents for whose estates legal guardians or similar representatives were appointed, separate forms must be fully completed and signed for each form of registration, and the face amount of the new bond or bonds must be shown on each form. Any division must be in authorized denominations.
- In the case of bonds to be reissued in the name of a custodian for a minor donee of a gift made pursuant to a statute authorizing gifts of securities to minors, the correct form of registration may be obtained from a Federal Reserve Bank or Branch, or the Bureau of the Public Debt.
- If a legal guardian or similar representative has been appointed for the estate of a minor or incompetent, reissue must be requested as provided in Subpart B, Department of the Treasury Circulars No. 530, current revision, and Public Debt Series No. 3-80.
- If a current registrant is remaining in the new registration as a coowner, he/she must be the first-named coowner.

COMPLETION OF FORM

ITEM 1. - Fill in the total face amount of the bonds for which reissue is being requested. Fully describe the bonds in their present form of registration. If more space is needed to describe the bonds, use a plain sheet of paper and attach it to this form.

ITEM 2.

- ✓ **Provide the social security number.**

<i>If the new bonds are to be inscribed in the name of</i>	<i>Provide this</i>
One person as owner, with or without a beneficiary	The social security number of the owner
Two persons as coowners	The social security number of the first-named coowner
A guardian, custodian, or similar representative of the estate of a minor, incompetent, or other ward	The social security number of the minor, incompetent, or other ward

- ✓ **Show the name of the owner or first-named coowner.**
- ✓ **Show the mailing address of the owner or first-named coowner.** The bonds will be delivered to this address unless you provide different mailing instructions under "Delivery Instructions."
- ✓ **Show the name of any coowner or beneficiary.** If you want to include a second name, mark the appropriate block to designate the person as "coowner" or "beneficiary" and insert his/her name. If a name is shown and no block is marked, we will assume coownership.
- ✓ **Insert the delivery instructions.** If you don't want the bonds mailed to the address shown for the owner or first-named coowner, provide the name and address of the person or institution you want to receive them. This address will be shown on the new bonds.

ITEM 3. - Sign the form in ink, in the presence of an authorized certifying officer (see "CERTIFICATION"). Provide each person's address, social security number, daytime telephone number, and e-mail address, if applicable.

- The owner of the bonds must sign this form.
- For Series E or H bonds registered in beneficiary form, the current beneficiary must sign if his/her name is being removed.
- For bonds registered in coownership form, a request solely to eliminate the name of one coowner may be signed by that coowner only. In all other situations, both of the coowners or their representatives must sign the form.
- An incompetent coowner may not sign this form. Reissue will not be made if a legal guardian or similar representative of an incompetent coowner's estate has not been appointed, except to add the words "under voluntary guardianship" after the name, or to eliminate the other coowner.
- The legal guardian or similar representative of the estate of a minor or incompetent coowner may execute a request on this form on behalf of the ward to eliminate the name of the other coowner. If living, that other coowner must also sign the form to eliminate his or her name. A request to eliminate the name of a minor or an incompetent coowner will not be recognized unless supported by evidence that a court has ordered the representative to request such reissue.
- If a guardian or similar representative of the estate of a minor coowner has not been appointed, the minor may execute the request if he/she is of sufficient competency to sign his/her name to the request and to understand the nature of the transaction.
- If an owner/coowner's name has been changed by marriage, divorce, court order, naturalization, or otherwise, he/she must sign the form as follows: " (PRESENT LEGAL NAME) ", changed by (specify manner in which change occurred) from " (NAME AS ON BONDS) ". To support a change of name, other than by marriage, a complete statement should follow the signature to show exactly how change of name was authorized. Documentary evidence, properly certified, may be required.

CERTIFICATION - You must appear before and establish identification to the satisfaction of an authorized certifying officer, a notary public, or other officer authorized by law to administer oaths and sign in the presence of that officer. Authorized certifying officers are available at banking institutions, including credit unions, in the United States. For a complete list of such officers, see Department of the Treasury Circulars, No. 530, and Public Debt Series No. 3-80.

ADDITIONAL REQUIREMENTS FOR REISSUE OF SERIES HH/H BONDS:

- Under the Interest Dividend Tax Compliance Act of 1983 as implemented by Internal Revenue Service regulations, the new owner or first-named coowner must complete IRS Form W-9 to certify that the social security number furnished is correct, to indicate whether or not he/she is subject to backup withholding under the provision of Section 3406(a)(1)(C) of the Internal Revenue Code, and to verify that he/she is a United States person (including a U.S. resident alien). Forms W-9 are available at financial institutions in the United States and Internal Revenue Offices. These forms can also be found on the IRS website at the address www.irs.gov.
- The furnishing of Direct Deposit information is a condition of reissue of Series HH bonds bearing issue dates of October 1989 and thereafter. A Direct Deposit form, PD F 5396 or SF 1199A, must be completed for Series HH bonds dated October 1989 and thereafter which are submitted for reissue. The Direct Deposit form must be completed by the new owner or coowner providing the appropriate information for direct deposit of the semi-annual interest payments. Forms SF 1199A are available at financial institutions in the United States. PD F 5396 is available for download on the Internet using the "forms" link at the address www.treasurydirect.gov. The financial institution designated to receive the payment can assist in the completion of the Direct Deposit form.

ADDITIONAL EVIDENCE – We reserve the right in any particular case to require the submission of additional evidence.

WHERE TO SEND – The PD F 1938 and the bonds, as well as any other appropriate forms and evidence, must be sent to one of the Treasury Retail Securities Sites shown below:

Treasury Retail Securities Site
PO Box 299
Pittsburgh, PA 15230-0299
1-800-245-2804

Treasury Retail Securities Site
PO Box 214
Minneapolis, MN 55480-0214
1-800-553-2663

PRIVACY ACT AND PAPERWORK REDUCTION ACT NOTICE

We're asking for the information on this form to assist us in processing your securities transaction requests. Our authority comes from 31 U.S.C. Ch. 31 which authorizes the Treasury Department to borrow money to pay the public debt of the United States. Also, 26 U.S.C. 6109 requires us to use your SSN on certain forms when we report taxable income to IRS. It's voluntary that you provide the requested information, but without it, we may not be able to process your transaction requests. Information concerning your securities holdings and transactions is considered confidential under Treasury regulations (31 CFR Part 323) and the Privacy Act. However, the following routine uses of this information may include disclosure to the following persons or entities: agents and contractors who help us manage the public debt; others entitled to the securities or payment; agencies (including disclosure through approved computer matches) determining eligibility for benefits, finding persons we've lost contact with, or helping us collect debts; agencies for investigations or prosecutions; courts, counsel, and others for litigation and other proceedings; a Congressional office asking on your behalf; and as otherwise authorized by law.

We estimate it will take you about 10 minutes to complete this form. However, you are not required to provide information requested unless a valid OMB control number is displayed on the form. Any comments or suggestions regarding this form should be sent to the Bureau of the Public Debt, Forms Management Officer, Parkersburg, WV 26106-1328. **DO NOT SEND completed form to the above address; send to correct address shown in "WHERE TO SEND" above.**